

KYC PROFILE FORM [Annexure-4(3)]

Special Scheme / Fixed Deposit

Confidential and to be filled in by bank



ONE Bank
PLC

Branch

Bank Use Only

CIF Number

Account Number

1. Account Title :

2. Type of Account (Put ✓) : ☐ Fixed Deposit (FDR) ☐ Monthly Money Maker ☐ ONE-2-3 ☐ Others

☐ Edusave ☐ Pensave ☐ Marrysave ☐ OSS ☐ OKDS ☐ Pyramid Savings ☐ Quick Millionaire ☐ Others

3. Customer's Profession (in details) :

4. Anticipated Monthly Income :

5. Source(s) of Fund (in details) :

6. How source of income of the Account Holder has been verified (details with documents) ?

i.

ii.

iii.

Whether collected documents have been verified?

☐ Yes ☐ No

7. How address(es) of the Account Holder has been verified (in details) ?

8. Whether Beneficial Owner (BO) of the account has been determined ?

☐ Yes ☐ No

*If the answer is Yes, then information of the Beneficial Owner to be collected as per Annexure-1(1).

(In case of company, KYC to be completed as per "Annexure-1(1)" of the Share Holder holding controlling share or share of 20% or above.)

9. Identity Papers :

☐ National ID No.

☐ Passport No.

☐ Birth Certificate

☐ Others

Copy Obtained
(Put ✓)

Verified
(Put ✓ where applicable)

10. Purpose of the account opening in case of Non-resident and Foreigners :

(a) Type of Visa :

Validity :

D D M M Y Y Y Y

(b) Is there approval from competent authority for work permit and opening of the Bank Account favoring the work permit recipient?

☐ Yes ☐ No

(Copy of passport in case of NRB and copy of Passport with Visa must be obtained from foreigners)

11. Is the client a Politically Exposed Person (PEP)/International Person (IP)/Chief of any International Organization or high official or their family member or close Associates (as per BFIU circular) ?

☐ Yes ☐ No

If the answer is Yes, a) Has approval been obtained from Senior Management ? ☐ Yes ☐ No

b) Has face to face interview been taken?

☐ Yes ☐ No

12. Is the customer's name is similar to or match with person or entity suspected under various resolutions of United Nations Security Council (UNSC) for terrorism, financing in expansion of weapons of mass destruction and with listed persons/ entity as declared banned by Bangladesh Government in light with existing laws, regulations and circulars ?

☐ Yes ☐ No

If the answer is Yes, then describe the measures taken :

13. Risk Grading as per Annexure-4(5) :

Sum of Risk Score	Overall Risk Rating
> = 15	High
< 15	Low

Comments:

* Though risk rating of any account is below 15, a customer may also be assessed as high risk on subjective judgment basis considering high risk of Beneficial Owner.

** Approval of Higher Official is required for the account in case of PEP / IP / Higher officials of any International Organization.

Preparer :	Reviewer :
(Account Opening Officer/ Relationship Manager)	(Branch Manager / Branch Anti Money Laundering Compliance Officer)
Signature :	Signature :
Name Seal :	Name Seal :
Date :	Date :

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(Signature of higher official's approved the account in case of PEP/IP/higher officials of any International Organization)

Seal :

Date :

14. When was the information related to the Account last reviewed & updated ?

Name of Officer performing review & update :

Signature with seal : Date :