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Confidential and to be filled in by Bank

KYC PROFILE FORM

Special Scheme / Fixed Deposit (বিশেষ স্কিম/স্থায়ী আমানতের ক্ষেত্রে প্রযোজ্য)

1. Account Name :
2. Type of Account :
3. Customer's profession (in details) :
4. Anticipated monthly income :
5. Source(s) of fund (details) :
6. Following documents collected for ensuring source(s) of fund :
 - i
 - ii
 - iii

Account Number											

Client Identifier Code											

Whether collected documents have been verified : Yes No

7. How address(es) of the account have been verified (in details) ?

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8. Whether Beneficial Owner (BO) of the account has been determined ?
 Yes No

If the answer is yes, then KYC to be completed of the Beneficial Owner as per Annexure- 1. (In case of a company, KYC to be completed as per Annexure-1 of the Share Holder holding controlling share or share of 20% or above.)

9. Indentity Papers :

	Copy obtained	Verified (Please Put ✓)
a) National ID No. :	<input type="checkbox"/>	<input type="checkbox"/> (in applicable case)
b) Passport No. :	<input type="checkbox"/>	<input type="checkbox"/> (in applicable case)
c) Birth Registration Certificate No. :	<input type="checkbox"/>	<input type="checkbox"/> (in applicable case)
d) Electronic Tax ID (E-TIN) No. :	<input type="checkbox"/>	<input type="checkbox"/> (in applicable case)
e) Vat Registration No. :	<input type="checkbox"/>	<input type="checkbox"/> (in applicable case)
f) Regitration No. of the Organization	<input type="checkbox"/>	<input type="checkbox"/> (in applicable case)
f) Others :		

10. Purpose of the account opening (in case of Non resident and Foreigners) :

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(a) Types of Visa : Validity :

(b) Is there approval from competent authority for work permit and opening of the Bank Account favoring the work permit recipient ?
 Yes No (in applicable case)

(Copy of Passport in case of NRB and copy of Passport with Visa must be obtained in case of Foreigners)

11. Is the client a Politically Exposed Person (PEP)/Influential Person (IP)/Chief of any Interanational Organization or high official or their family member or close Associates (as per BFIU circular) ?
 Yes No

If the answer is yes :

- a. Has approval been obtained from Senior Managemnt ? Yes No
- b. Has face to face interview been taken ? Yes No

12. Is the customer's name is similar to or match with person or entity suspected under various resolutions of United Nations Security Council (UNSC) for terrorism, financing in expansion of weapons of mass destruction and with listed persons/entity as declared banned by Bangladesh Government in light with existing laws, regulations and circulars ?

Yes No

a) If the answer is yes, then describe the measures taken :

13. Risk Grading as per **Annexure-4(5)**

Sum of Risk Score	Overall Risk Rating
> =15	High
< 15	Low

Comments :

(* In case of risk rating of any account is below 15, a customer may also be assessed as high risk on subjective judgment basis considering risk of Beneficial Owner & other risk.)

<p>Preparer : (Account Opening Officer/ Relationship Manager)</p> <p>Signature :</p> <p>Name Seal :</p> <p>Date :</p>	<p>Reviewer : (Branch Manager / Branch Anti Money Laundering Compliance Officer)</p> <p>Signature :</p> <p>Name Seal :</p> <p>Date :</p>
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(Signature of higher official's approved the account in case of PEP/IP/higher officials of any International Organization)

Seal :

Date :

14. When was the information related to the Account last reviewed & updated ?

Name of Officer performing review & update :

Signature with seal : Date :